

MainStreet Advisors

Investor Profile



MAINSTREET ADVISORS™

Client Investment Objectives/Asset Allocation

Goals and Needs/Risk Tolerance

Which of the following best describes the account's primary financial goal for these assets? (Check box)

- A. Capital Preservation – Principal stability and income rather than growth of principal; client is not concerned with growing the value of these investments.
- B. Income w/ Some Growth – Slightly more income and stability of principal rather than growth; client is not concerned with outperforming the market.
- C. Balanced – A balance of growth, income and principal stability; client is willing to tolerate losses in some years.
- D. Growth w/ Some Income – Slightly more growth than principal stability and income; client is willing to tolerate losses in some years.
- E. Growth – Growth of principal rather than income or principal stability; client is willing to tolerate losses in some years.

Investment Time Horizon

What is the time horizon for this account? (check box)

- A. 1-3 years; these investments need to remain very liquid
- B. 3-5 years; can only tolerate a small amount of volatility
- C. 5-10 years; can tolerate a moderate amount of volatility
- D. Over 10 years; these assets are invested for the long-term and can tolerate short-term fluctuations in value

The assets in this account represent what percentage of client's investable assets?

- A. More than 75%
- B. 50%-75%
- C. 25%-50%
- D. Less than 25%

Withdrawals may be taken from this account:

- A. Not applicable
- B. Within 5 years
- C. Within 5-10 years
- D. More than 10 years from now

Outside Investments

If outside investments are held with other managers, please provide detailed information regarding these investments:

Restrictions

Please indicate below any investment restrictions or preferences for this account:

Other

Please indicate below any additional information that will assist in management of this account:

Signature, if required by Trust Department

This Questionnaire is intended to determine how you would like to balance your financial and non-financial concerns, and to accurately reflect your non-financial concerns in the management of your portfolio. Please advise your Trust Officer/Portfolio Manager of additional information that may be of concern that is not covered herein.

The material has been prepared or is distributed solely for information purposes and is not a solicitation or an offer to buy any security or instrument or to participate in any trading strategy. This presentation is not intended to be used as a general guide to investing, or as a source of any specific investment recommendations, and makes no implied or express recommendations concerning the manner in which any client's account should or would be handled, as appropriate investment strategies depend upon the client's investment objectives. The portfolio risk management process and the process of building efficient portfolios includes an effort to monitor and manage risk, but should not be confused with and does not imply low or no risk.

Traditional and Efficient Portfolio Statistics include various indices that are unmanaged and are a common measure of performance of their respective asset classes. The indices are not available for direct investment. Past performance is not indicative of future results, which may vary. The value of investments and the income derived from investments can go down as well as up. Future returns are not guaranteed, and a loss of principal may occur. Investing for short periods may make losses more likely.

The opinions expressed are those of MainStreet Advisors. This information is subject to change at any time, based on market and other conditions. The information presented has been obtained with care from sources believed to be reliable, but is not guaranteed. Member and/or officers may have material ownership interest in investment mentioned. Any investments purchased or sold are not deposit accounts and are not endorsed by or insured by the Federal Deposit Insurance Corporation (FDIC), are not obligations of the Bank, are not guaranteed by the Bank or any other entity and involve investment risk, including possible loss of principal. MainStreet Advisors and "Bank" are independently owned and operated.



MAINSTREET ADVISORS™

120 North LaSalle Street
Suite 3750
Chicago, IL 60602
312.223.0270
www.mainstreetadv.com